

# Business Health Check



“Corporate Structure brings together shareholders’ risk taking abilities and manager’s competencies for benefit of all stakeholders”

## Non-compliance Costs



“Compliance is not just a statutory obligation, rather a tool to achieve business objectives with sustainability”

### Compliance



- Sustainability
- Goodwill
- Stakeholders’ Confidence

### Non-Compliance



- Penalties
- Reputation Risk
- Lack of Confidence

Sl.	Plethora of Compliances
1	BoD to review Compliance report of <b>all</b> applicable laws & steps taken to rectify non compliances
2	An officer in default liable to penalties under company law
3	Disqualification for appointment as a MD/ WTD/ Manager if convicted under any of the 16 Acts specified
4	Proceeding for negligence, default, breach of duty, misfeasance, or breach of trust against any officer – Diligence, a good defence u/s 463 of Cos Act
5	Diligence – An overall defense for Directors in any default situation
6	Director to be ‘occupier’ of a factory – Supreme Court. Robust internal process must.

How as a responsible business officer (CEO / CFO / Compliance Head), you establish a robust compliance mechanism and back-up system to comply and also report ? – Pantomath’s Healthcheck Program Will Assist You ...



What is it?

- Comprehensive review of identified corporate compliances
- Finding out lapses and Recommending corrective action



What we Cover?

- Corporate Laws
- Contractual Obligations, SOPs and internal Practices



How do it?

- Preliminary Discussion
- Scoping
- Review
- Discussion with Management
- Final Report



What we deliver?

- Comprehensive review of Identified compliances
- Recommendation on corrective action to be taken and Execution Support